



AF/2812

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventor(s): Guarionex Morales  
Lu You  
Richard J. Huang  
Simon S. Chan  
Dawn Hopper

SC/Serial No.: 09/773,312

Confirm. No.: 2747

Filed: January 31, 2001

Title: METHODS FOR CHARACTERIZING AND  
REDUCING ADVERSE EFFECTS OF TEXTURE  
OF SEMICONDUCTOR FILMS

PATENT APPLICATION

Art Unit: 2812  
Examiner: Nguyen, Ha T.

Customer No. 23910

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231, on March 20, 2003.

D. Benjamin Borson (Attorney Signature)  
D. Benjamin Borson, Ph.D., Reg. No. 42,349  
Signature Date: March 20, 2003

RESPONSE TRANSMITTAL LETTER

Commissioner for Patents  
Washington, DC 20231

Sir:

Transmitted with this communication in connection with the above-identified application are the following:

- ☐ A Response under 37 C.F.R. §1.111 to the Office Action dated \_\_\_\_\_.
- ☒ A Response under 37 C.F.R. §1.116 to the Office Action dated December 20, 2002.
- ☐ A Petition for an Extension of Time under 37 C.F.R. §1.136.
- ☐ A Statement pursuant to 37 C.F.R. §1.27 to establish small entity status under 37 C.F.R. §1.9(f).
- ☐ An Information Disclosure Statement pursuant to 37 C.F.R. §1.56.

RECEIVED  
MAR 28 2003  
TECHNOLOGY CENTER 2800

The fee associated with this communication has been calculated as shown below:

- X   No fee is required with this communication.
- Small entity status of this application under 37 C.F.R. §1.9 and §1.27 has been established.
- A fee for extension of time for response under 37 C.F.R. §1.136 filed within    month(s) after the original time for response of \$       is due.
- A fee of \$180.00 is due for the submission of the accompanying Information Disclosure Statement.
- A fee for addition of claims under 37 C.F.R. §1.16 is due as follows:

Claims Remaining After Amendment	Highest Previously Paid For	Number Extra	Rate Small Entity/ Other Than Small Entity			
Total			\$ 9.00			
Claims <u>  </u> -	<u>[20 or more]</u>	= <u>  </u> *	X \$18.00	=		\$
Independent			\$42.00			
Claims <u>  </u> -	<u>[ 3 or more]</u>	= <u>  </u> *	X \$84.00	=		\$
First Presentation of			\$140.00			
Multiple Dependent Claim(s) <u>  </u>			\$280.00	=		\$

\*If the difference is less than zero, enter "0".

Additional Fee = \$                     

The total fee required with this communication is \$                      and is to be paid as follows:

       Please charge Deposit Account No. 06-1325 in the amount of \$       . A duplicate copy of this authorization is enclosed.

       A check in the amount of \$                      is enclosed.

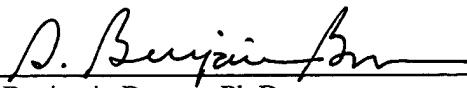
✓ The Commissioner is hereby authorized to charge underpayment of any fees, including the following fees, associated with this communication or credit any overpayment to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

✓ Any filing fees under 37 C.F.R. §1.16 for the presentation of additional claims.

✓ Any patent application processing fees under 37 C.F.R. §1.17 including any applicable fee for extension of time.

Respectfully submitted,

Date: March 20, 2003

By:   
D. Benjamin Borson, Ph.D.  
Reg. No. 42,349

FLIESLER DUBB MEYER & LOVEJOY llp  
Four Embarcadero Center, Fourth Floor  
San Francisco, California 94111-4156  
Telephone: (415) 362-3800



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

) PATENT APPLICATION

Inventor(s): Guarionex Morales

) Art Unit: 2812

Lu You

)

Richard J. Huang

) Examiner: Nguyen, Ha T

Simon S. Chan

)

Dawn Hopper

)

SC/Serial No.: 09/773,312

)

Confirm. No: 2747

) Customer No. 23910

Filed: January 31, 2001

)

Title: METHODS FOR CHARACTERIZING AND)  
REDUCING ADVERSE EFFECTS OF )  
TEXTURE OF SEMICONDUCTOR FILMS)

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Art Unit 2812, Washington, D.C. 20231, on March 20, 2003.

*D. Benjamin Borson*

(Attorney Signature)

D. Benjamin Borson, Ph.D. Reg. No: 42,349

Signature Date: March 20, 2003

RESPONSE UNDER 37 C.F.R. § 1.116

Commissioner for Patents  
Art Unit 2812  
Washington, D.C. 20231

Sir:

This RESPONSE is in reply to the Office action mailed December 20, 2002.

Amendments

Please amend the above-identified application as follows:

#12  
Amend  
C  
(4-1-03)  
4-1-03  
6/5/03

Do not enter  
4-4-03  
12m

RECEIVED  
MAR 28 2003  
TECHNOLOGY CENTER 2800